

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Walker et al.

Customer No. 22927

For: METHOD AND APPARATUS FOR
ISSUING AND MANAGING GIFT
CERTIFICATES

Group Art Unit: 2876

Serial No.: 09/613,361

Examiner: Not Yet Assigned

Filing Date: July 10, 2000

Attorney Docket No.: 96-139XX

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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Technology Center 2100

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Red-lined Copy of Official Filing Receipt;
3. Copy of the Utility Patent Application Transmittal;
4. Copy of Petition to Correct Inventorship Pursuant to 37 CFR 1.43(a);
5. Copy of Declaration and Power of Attorney for Patent Application; and
6. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge \$25.00 to Deposit Account No. 50-0271. Order No. 96-139XX. A duplicate copy of this sheet is attached. The Assistant Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment to Deposit Account No. 50-0271. Order No. 96-139XX. A duplicate copy of this sheet is attached.

Respectfully submitted,

Nathaniel Levin
Attorney for Applicants
PTO Reg. No. 34,860
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905-1326
Telephone: (203) 461-7114/voice
Facsimile: (203) 595-8266/fax

October 4, 2000
Date

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Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail with sufficient postage addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer service Center, Washington, DC 20231 on October 4, 2000.

Veronika S. Lelievre
Typed Name of Person Making Deposit

Signature

10/4/2000
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the filing receipt for the above-identified patent application be corrected to reflect the name of the fifth inventor, Sanjay K. Jindal, whose name was listed on the Utility Patent Application Transmittal dated July 10, 2000. The Copy of Declaration and Power of Attorney forwarded to the USPTO with the Patent Application did not include Sanjay K. Jindal as one of the inventors because his name was added pursuant to a Petition filed on February 14, 2000.

The list of Applicants should be corrected to read:

“JAY S. WALKER, RIDGEFIELD, CT;
DANIEL E. TEDESCO, STAMFORD, CT;
JAMES A. JORASCH, STAMFORD, CT;
ROBERT R. LECH, NORWALK, CT;
SANJAY K. JINDAL, PACIFIC PALISADES, CA.”



This requested correction has been indicated in red on an enclosed copy of the official Filing Receipt. In support of this request, Applicants enclose a copy of the Petition to Correct Inventorship Pursuant to 37 CFR 1.43(a), a copy of the executed Declaration and Power of Attorney of Sanjay K. Jindal and a copy of the Utility Patent Application Transmittal.

Please issue a corrected Filing Receipt in due course.

October 4, 2000
Date

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Nathaniel Levin".

Nathaniel Levin
Attorney for Applicants
PTO Reg. No. 34,860
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905-1326
Telephone: (203) 461-7114/voice
Facsimile: (203) 595-8266/fax

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/613,361	07/10/2000	2876	1158	96-139XX	16	13	9

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

FILING RECEIPT



OC000000005391975

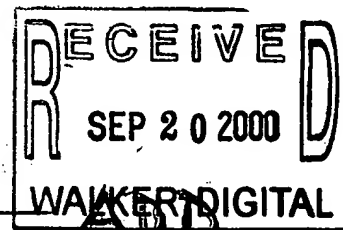
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Date Mailed: 09/27/2000 2100

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay S. Walker, Ridgefield, CT ;
Daniel E. Tedesco, Stamford, CT ;
James A. Jorasch, Stamford, CT ;
Robert R. Lech, Norwalk, CT ;
Sanjay K. Jindal, Pacific Palisades, CA. ←



Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/997,680 12/23/1997
WHICH IS A CIP OF 08/762,128 12/09/1996 PAT 5,798,508
AND A CIP OF 08/858,738 05/19/1997

Foreign Applications

If Required, Foreign Filing License Granted 09/12/2000

Title

Method and apparatus for issuing and managing gift certificates

Preliminary Class

235

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Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 09/12/2000

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100



COPY

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

OCT 06 2000

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
96-139XXTotal Pages in this Submission
60

TO THE ASSISTANT COMMISSIONER FOR PATENTS

B x Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD AND APPARATUS FOR ISSUING AND MANAGING GIFT CERTIFICATES

and invented by:

Jay S. WALKER, Daniel E. TEDESCO, James A. JORASCH, Robert R. LECH, and Sanjay K. JINDALL

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/997,680

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/762,128

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/858,738

Enclosed are:

Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 60 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
96-139XX

Total Pages in this Submission
60

Application Elements (Continued)

3. ☒ Drawings, when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 16
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed (*original or copy*) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (*Appendix*)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all must be included*)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (*identical to computer copy*)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (*cover sheet & document(s)*)
9. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
10. ☐ English Translation Document (*if applicable*)
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL466459654US

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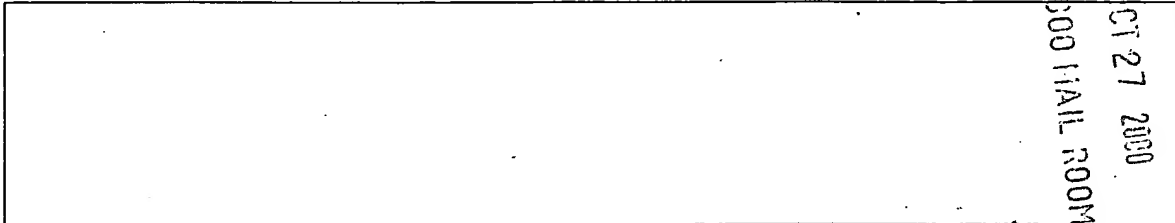
UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
96-139XXTotal Pages in this Submission
60

Acc mpanying Application Parts (C ntinued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)16. ☐ Additional Enclosures (please identify below):RECEIVED
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Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	13	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	9	- 3 =	6	x \$78.00	\$468.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,158.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,158.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Nathaniel Levin
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(203) 595-8266/fax



22927

PATENT TRADEMARK OFFICE

Dated: July 10, 2000

cc: